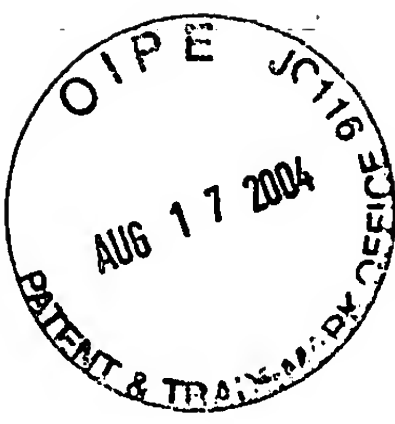


JFW



UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/787,404
Applicant : FRANTZEN, John J.
Filed : 02/24/2004
TC/A.U. : 3731
Examiner :

Docket No. : 04017.001

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

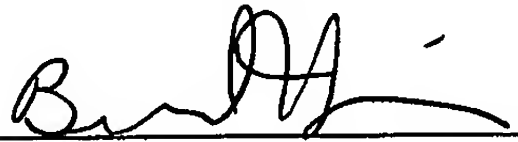
In accordance with the requirements of 37 C.F.R. §§1.97 and 1.98, the undersigned requests that the information contained herein be considered by the Office.

REMARKS

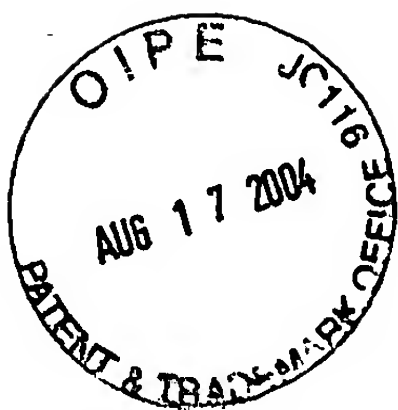
The information to be considered includes: a form PTO/SB/08A listing references and a copy of the listed references, in accordance with 37 C.F.R. §1.98.

This document is filed before a first Office action on the merits of this case, and hence should be considered as timely filed. Should the Examiner have any questions upon his review of the above information, the undersigned requests that the Examiner contact the undersigned by phone.

Respectfully Submitted:


Bradley P. Heisler
Applicant's Attorney
Telephone (916) 781-6634
Registration No.: 35,892

8-3-04
Date



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PTO/SB/21 (6-98)
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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/787,404
	Filing Date	02/24/2004
	First Named Inventor	FRANTZEN, John J.
	Group Art Unit	3731
	Examiner Name	
Total Number of Pages in This Submission		Attorney Docket Number 04017.001

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	return postcard
<input checked="" type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Bradley P. Heisler
Signature	
Date	8-3-04

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 8-3-04			
Typed or printed name	Carmen McCarty		
Signature	Carmen McCarty	Date	August 3, 2004

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Complete if Known			
		Application Number	10/787,404		
		Filing Date	02/24/2004		
		First Named Inventor	FRANTZEN, John J.		
		Group Art Unit	3731		
		Examiner Name			
Sheet	2	of	10	Attorney Docket Number	.04017.001

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		5,242,399		Lau	09-07-1993	
		5,250,071		Palermo	10-05-1993	
		5,261,916		Engelson	11-16-1993	
		5,263,964		Purdy	11-23-1993	
		5,314,444		Gianturco	05-24-1994	
		5,334,217		Das	08-02-1994	
		5,350,397		Palermo	09-27-1994	
		5,352,199		Tower	10-04-1994	
		5,375,612		Cottenceau	12-27-1994	
		5,383,892		Cardon	01-24-1995	
		5,421,955		Lau	06-06-1995	
		5,423,849		Engelson	06-13-1995	
		5,425,739		Jessen	06-20-1995	
		5,425,744		Fagan	06-20-1995	
		5,441,510		Simpson	08-15-1995	
		5,441,515		Khosravi	08-15-1995	
		5,443,477		Marin	08-22-1995	
		5,443,496		Schwartz	08-22-1995	
		5,449,373		Pinchasik	09-12-1995	
		5,485,667		Kleshinski	01-23-1996	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known			
				Application Number		10/787,404	
				Filing Date		02/24/2004	
				First Named Inventor		FRANTZEN, John J.	
				Group Art Unit		3731	
				Examiner Name			
Sheet	3	of	10	Attorney Docket Number		,04017.001	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		5,494,029		Lane	02-27-1996	
		5,496,277		Termin	03-05-1996	
		5,507,767		Maeda	04-16-1996	
		5,507,771		Gianturco	04-16-1996	
		5,514,115		Frantzen	05-07-1996	
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		5,522,882		Gaterud	06-04-1996	
		5,531,741		Barbacci	07-02-1996	
		5,545,210		Hess	08-13-1996	
		5,549,662		Fordenbacher	08-27-1996	
		5,549,663		Cottone, Jr.	08-27-1996	
		5,554,181		Das	09-10-1996	
		5,562,641		Flomenblit	10-08-1996	
		5,562,698		Parker	10-08-1996	
		5,562,728		Lazarus	10-08-1996	
		5,569,295		Lam	10-29-1996	
		5,578,074		Mirigian	11-26-1996	
		5,578,149		De Scheerder	11-26-1996	
		5,591,195		Taheri	01-07-1997	
		5,591,223		Lock	01-07-1997	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT <i>(use as many sheets as necessary)</i>				Complete if Known			
				Application Number		10/787,404	
				Filing Date		02/24/2004	
				First Named Inventor		FRANTZEN, John J.	
				Group Art Unit		3731	
				Examiner Name			
Sheet	5	of	10	Attorney Docket Number		,04017.001	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
		D380,266		Boatman	06-24-1997	
		D380,831		Kavteladze	07-08-1997	
		5,662,614		Edoga	09-02-1997	
		5,665,117		Rhodes	09-09-1997	
		5,674,241		Bley	10-07-1997	
		5,683,449		Marcade	11-04-1997	
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		5,697,971		Fischell	12-16-1997	
		5,709,707		Lock	01-20-1998	
		5,718,713		Frantzen	02-17-1998	
		5,723,004		Dereume	03-03-1998	
		5,725,572		Lam	03-10-1998	
		5,728,068		Leone	03-17-1998	
		5,728,131		Frantzen	03-17-1998	
		5,728,158		Lau	03-17-1998	
		5,735,892		Myers	04-07-1998	
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		5,741,327		Frantzen	04-21-1998	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Office ³	Number ⁴	Kind Code ⁵ (if known)				

Examiner Signature		Date Considered	
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<div style="display: flex; justify-content: space-between;"><div style="width: 45%;">Substitute for form 1449B/PTO</div><div style="width: 50%; text-align: right;">Complete if Known</div></div> <div style="text-align: center;">INFORMATION DISCLOSURE STATEMENT BY APPLICANT</div> <div style="text-align: center; margin-top: 10px;"><i>(use as many sheets as necessary)</i></div>				<table border="1" style="width: 100%; border-collapse: collapse;"><tr><td style="width: 50%;">Application Number</td><td style="width: 50%;">10/787,404</td></tr><tr><td>Filing Date</td><td>02/24/2004</td></tr><tr><td>First Named Inventor</td><td>FRANTZEN, John J.</td></tr><tr><td>Group Art Unit</td><td>3731</td></tr><tr><td>Examiner Name</td><td></td></tr><tr><td>Attorney Docket Number</td><td>04017.001</td></tr></table>		Application Number	10/787,404	Filing Date	02/24/2004	First Named Inventor	FRANTZEN, John J.	Group Art Unit	3731	Examiner Name		Attorney Docket Number	04017.001
Application Number	10/787,404																
Filing Date	02/24/2004																
First Named Inventor	FRANTZEN, John J.																
Group Art Unit	3731																
Examiner Name																	
Attorney Docket Number	04017.001																
Sheet	10	of	10														

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		PATRICK W. SERRUYS and MICHAEL JB KUTRYK; Handbook of Coronary Stents, Second Edition; 1998; pages 45, 55, 78, 103, 112, 132, 158, 174, 185, 190, 207, 215, 230, 239; Martin Dunitz; UK	
		Journal of Endovascular Therapy; April 2000; pages 111, 114, 132-140; Volume 7, Number 2; International Society of Endovascular Specialists; Phoenix, AZ	

Examiner Signature		Date Considered	
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